

REQUEST FOR PROPOSALS

Issue Date: January 6, 2014RFP# 14AML26Title: *A/E SERVICES FOR* DEAN AMD SITE

Commodity Code: PROFESSIONAL SERVICES

Issuing Agency & Address: Commonwealth of Virginia
Department of Mines, Minerals & Energy
Office of General Services
P.O. Drawer 900
3405 Mountain Empire Road
Big Stone Gap, VA 24219

Location of Work: Off State Route 765 near the Town of St. Charles in Lee County,
Virginia

Period Of Contract: Single project services

All inquiries for information should be directed to:

Ann Smith, Contracting Coordinator

Phone #: (276) 523 - 8211

IF PROPOSALS ARE MAILED, SEND DIRECTLY TO ISSUING AGENCY SHOWN ABOVE. IF PROPOSALS ARE HAND DELIVERED, DELIVER TO:

Department of Mines, Minerals & Energy, Office of General Services, 3405 Mountain Empire Road, Big Stone Gap, VA 24219

Sealed proposals for furnishing the services described herein will be received until **4:00 p.m. local time on February 5, 2014** **Proposals must reach the above address by the deadline stated.**

In compliance with this Request For Proposals, which includes the attached Table of Contents and all provisions and appendices attached and referenced therein, and subject to all the terms and conditions set forth herein, the undersigned offers and agrees to furnish the services described in the RFP cited above and submit this signed proposal which includes this completed and signed page, the completed and signed Forms AE-1, AE-2, AE-3, AE-4, AE-5 and AE-6 and other data as required by the RFP. It is understood that this proposal and the scope of services may be modified, by mutual agreement in subsequent negotiations.

Name And Address Of Proposer:

Date:_____

By_____

(Signature in Ink)

Typed Name:_____

_____ Zip:_____

Title:_____

FEIN/SSN # _____

Telephone No.() _____

PRE-PROPOSAL CONFERENCE: A pre-proposal conference will be held on January 22, 2014 at 1:00 p.m. EST. Meet at the Leeman Field Fairgrounds off U.S. Route 421 in Pennington Gap, Virginia.

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NOTE: *Electronic copies of A/E Data Forms (AE-1 through AE-6) and of the "Small Businesses and Businesses Owned by Women & Minorities" forms are available for download at the following website: <http://forms.dgs.virginia.gov/>*

eVA Vendor Registration: The offeror shall be a registered vendor in eVA. See the attached **eVA Vendor Registration Requirements**.

I. APPLICABILITY OF THE A/E MANUAL

The **A/E Manual** as defined in the **Commonwealth of Virginia Construction and Professional Services Manual**, as amended, shall apply to the contract awarded pursuant to this RFP and is incorporated by reference herein in its entirety.

Proposer's attention is directed to Chapter 2, Definitions, for definitions of terms used in this RFP. The terms "Agency" and "Owner" are used interchangeably in the material referenced in this RFP and mean the public body issuing this solicitation for services and with whom the successful A/E Proposer will enter into an agreement. For purposes of clarity and uniformity in this RFP, only the term "Agency" will be used herein.

Proposer's attention is also directed to the Terms and Conditions of the A/E Contract (CO-3a).

II. PURPOSE

The purpose of this RFP is to solicit proposals for the purposes of entering into a contract through competitive negotiations for the professional services of an Architectural/Engineering firm, authorized to do business in the Commonwealth of Virginia, with experience in acid mine drainage treatment, hydrology, and wetland design.

III. BACKGROUND

The Dean Site is located on Ely Creek off State Route 765 southwest of the Town of St. Charles in Lee County, Virginia. The project coordinates are approximate N36° 46' 57" and W83° 05' 35" NAD83. Currently acid mine drainage (AMD) seeps are entering Ely Creek south southeast of Route 765. It is believed the AMD seeps are originating from a coal seam identified as the #3 Seam. The #3 Seam has been extensively deep mined in the area. It is believed the deep mine primarily responsible for the AMD seeps is located south of Route 765 with the portals thought to be positioned adjacent to Canary Trail Road. After the area was deep mined, it was also surface mined with the deep mine portals being covered. It is possible that the portals associated with the #3 Seam are positioned at or below drainage. It is also likely that some discharge is occurring from the #3 Seam within the surface mined area and seeping through the backfill material.

The Dean Site is part of the North Fork Powell River Watershed (PL-566) Project. An Environmental Assessment (EA) for the North Fork Powell River Watershed was completed in February 2008. The EA is being made available as part of this RFP. The Dean Site is identified in the EA as ECEAMD3 (Aubra Dean). Partners currently involved with the Dean Site include the Virginia Department of Mines, Minerals and Energy (DMME), the Daniel Boone Soil & Water Conservation District (DBSWCD), Lee County, and the Virginia Department of Conservation and Recreation (DCR). Construction is being funded in part by existing DCR Grant WQIF-2012-P01-PT.

The Scope under this RFP shall include **all** necessary work for the design of a long-term low-maintenance treatment facility to attain a Total Dissolved Solid (TDS) loading reduction. Overall TDS loading reduction methodologies should be utilized with a goal to reduce the TDS loading by 48% for the Dean Site AMD discharge(s)/seep(s) currently entering Ely Creek. TDS loading reduction methodologies should first focus on overall metals reduction. This reduction goal is consistent with the Total Maximum Daily Load (TMDL) Implementation Plan (*Implementation Plan for the Straight Creek and Tributaries Total Maximum Daily Load Study; Revised March/April*

2009) for Straight Creek and tributaries. Due to the DCR grant for construction expiring at the end of December 2014, it is critical that a design, which fully meets the scope of work described above, be developed and ready for bid on or before **August 1, 2014**.

IV. INFORMATION AVAILABLE

The following documents are available: 1) The Final Plan and Environmental Assessment for the North Fork Powell River Watershed (PL-566); 2) water quality data; 3) general location map PDF; 4) the Implementation Plan for the Straight Fork and Tributaries Total Maximum Daily Load Study, revised March/April 2009; 5) property maps; 6) approximate water line location map; and 7) Mine maps (The location, orientation, elevations, etc. of the provided mine works are approximate and shall be verified by the designer).

This information is available for examination in the Agency's office located at 3405 Mountain Empire Road, Big Stone Gap, VA 24219. The information is also available at: ftp://mail.dmme.virginia.gov/dmlr/aml/Dean_Site/

V. SCOPE OF SERVICES:

The Agency's documents indicated in Section IV above describe the program, siting, appearance, aesthetics, functional arrangement, and level of quality desired by the Agency.

The selected A/E shall furnish all expertise, labor and resources for complete design and construction period services for the project in accordance with the requirements of the A/E Manual. The following generally highlights the services that the A/E will be required to perform:

A.

1. Design a long-term low-maintenance treatment facility to attain a Total Dissolved Solid (TDS) loading reduction. Overall TDS loading reduction methodologies should be utilized with a goal to reduce the TDS loading by 48% for the Dean Site AMD discharge(s)/seep(s) currently entering Ely Creek. TDS loading reduction methodologies should first focus on overall metals reduction. The treatment plan may include but is not limited to the following: Anaerobic Treatment for AMD remediation (Successive Alkaline Producing Systems (SAPS)); Open Limestone Channels (OLC); Aerobic treatment for AMD (wetlands) or a Systematic approach to AMD treatment using combinations of passive treatment technologies;
2. Perform additional water sampling, groundwater hydrology analysis, surface water hydrology analysis, field surveys, topographic survey, and geotechnical investigations **only as minimally necessary** to meet the scope of work;
3. Perform investigations to confirm the location and elevation of the #3 Seam mine works, determine if a mine pool exists and the extent of the mine pool;
4. Develop a mine pool dewatering plan to ensure the safety of contactors and the protection of receiving waters and environmental resources;
5. Develop a mine closure plan to prevent future blowouts and to allow for the collection and routing of the discharge into the proposed AMD treatment facilities;
6. Perform investigations to identify any seeps currently originating within the project area and develop plans and procedures for the collection and routing of these seeps into the proposed AMD treatment facilities;
7. Develop plans and procedures for the collection, routing and treatment of any unknown seeps that may be encountered during construction;

8. Identify by the conceptual plan due date all Dean Property that needs to be purchased to accommodate construction. This includes the AMD treatment facilities along with any support facilities such as borrow sites, disposal sites, etc. No work is to be proposed on the property identified as Stapleton tracts;
9. Conduct early coordination with all appropriate regulatory agencies to determine if natural stream design will be required. If required, develop stream channel relocation plans using natural stream design. Any and all coordination shall be conducted in cooperation with DMME;
10. Provide sketches, quantities, and any other assistance required (i.e. presentations, etc.) for the Clean Water Act Section 404/401 permitting process. The permits will be obtained by DMME and the Daniel Boone Soil and Water Conservation District;
11. Develop plans for the relocation of any utilities and coordinate this work with the local Public Service Authority, The Virginia Department of Transportation, and any other authority which has jurisdiction over utilities which will be impacted by the project;
12. Any plans developed shall include design measures to prevent damage from beaver, muskrat and other wildlife;
13. As part of the plan development, the designer shall address construction access and maintaining traffic on Canary Trail Road off Route 765, which may include relocation of the entrance to provide additional treatment area. This includes any required coordination with Lee County and The Virginia Department of Transportation;
14. Develop plans for all required borrow and disposal sites;
15. Develop a safety conditions and ground control plan (Red Zone) for construction;
16. Develop a plan for construction access improvements and maintenance;
17. Develop a plan for traffic control for all construction equipment entering/exiting project site from State Route 765;
18. Coordinate with the Virginia Department of Transportation (VDOT) and include in the plans and specifications any and all requirements for work within VDOT right of way (with exception to the required VDOT Land Use Permit);
19. Develop a revegetation plan for all disturbed areas (must use species listed on the March 2005 DMLR Revegetation Species List);
20. Develop a final grading plan;
21. Develop a strict erosion and sediment control plan for all land disturbing activities adequate to prevent impacts to receiving waters, wetlands and adjacent properties that complies with all applicable State and local erosion and sediment control laws and regulations;
22. Include a minimum reference to ASTM or AASHTO. State a minimum material specification. Nationally accepted standards are to be referenced when it is necessary to use an existing standard to describe the material to be used or work performed during construction;
23. Identify and describe procedures for surface and subsurface utilities including electric, telephone, cables, guide wires and drainage, water, sewer, culverts and bridge structures, buildings or other structures;
24. Develop an Engineering Report, Construction Plans and Specifications, bid schedule, engineer estimate, and a materials schedule for the Dean Site. Plans and Specifications shall be in detail, including but not limited to plan views, cross sections, maps, and drawings; and
25. Development of an Operation and Maintenance Plan
26. Perform any necessary field surveying and use any available mapping from DMME and develop any additional necessary mapping to relate to existing USGS Datum and Benchmarks or established project monuments. Construction plans which require horizontal and/or vertical control to establish baselines and construction stake-out shall have sufficient references in the field and on the drawings to allow the construction contractor to perform the necessary surveying and staking without leaving the construction area. Cross sections shall be on no more than 30-foot intervals.
27. Show all areas which will be disturbed or entered by project personnel on the plans including the actual reclamation and access areas.

- B. Provide complete construction contract documents with professional seals and signatures in accord with the procedures of the A/E Manual. Documents must be complete and ready for bidding not later than August 1, 2014.
- C. Assure that the construction contract documents are in conformance with generally accepted architectural and engineering practices and comply fully with all applicable codes and regulations.
- D. Provide specifications which reflect current requirements, standards and product availability.
- E. Coordinate the design of any necessary utility re-locations with local utility provider(s) and obtain necessary approvals.
- F. Prepare A/E cost estimates for the project per the A/E Manual.
- G. Represent the Agency by presenting necessary oral and/or graphic presentations as warranted by the Agency.
- H. Provide services to assist the Agency in the bidding and award of the construction contract.
- I. Conduct site inspections or attend meetings during construction when directed by the Agency. The Contractor's project engineer or project manager shall be responsible for performing the inspections or attending of meetings.. A minimum of six (6) inspections are planned. Upon completion of each inspection, the Contractor shall prepare and submit a detailed inspection report.
- J. Work closely with the Agency during the design process and keep the Agency advised on alternatives so as not to develop plans that are not suitable for the Agency.
- K. The Division of Mined Land Reclamation assumes that all work will be done within the normal 5 day, 8 hour per day week (40 hour week). All work performed by the Contractor that requires the presence of an Abandoned Mine Land Inspector or other Division of Mined Land Reclamation personnel should be executed within the normal 5 day, 8 hour per day week. Any exceptions to this must be by written permission from the Agency with at least 48 hours prior notification by the Contractor to the Agency.
- L. The Contractor shall be responsible for the encasement/capping or sealing of all drill holes, filling of any test pits, and revegetating all areas disturbed for exploration or investigative purposes using DMLR approved species necessary for the preparation of the plans and specifications. The Contractor shall be responsible for dust control and any repair or cleaning necessary as a result of the Contractor's activities.
- M. The Engineering Report shall provide the Agency with applicable copies of field survey notes, drill and borehole logs, stability analyses, hydraulic calculations, volume calculations and other pertinent data to the project. The material shall be clear and complete enough to allow the Agency to check the calculations and to provide reference materials if questions arise during or after construction for subjects including but not limited to areas requiring special compaction levels critical to achieving design stability, unusual or problematic soil conditions, areas of geologic instability or barriers to construction, hazardous site conditions, critical materials for which substitution is not permitted, design assumptions for construction such as buttressing, shoring, etc.

- N. The drawings shall be complete with all construction notes and references. Specifications shall also be complete and site specific. The Contractor shall meet in the field with the review team to discuss the plans and specifications, if required.
- O. The Contractor shall provide, at minimum, the following to the Agency within the time schedule outlined in the contractor's proposal or as negotiated in the final contract:
1. The Technical Proposal in electronic format
 2. The conceptual design in electronic format and three hard copies. A conceptual design meeting will be scheduled by the Agency after the hard copies have been submitted.
 3. The Draft Construction Plans and Specifications in electronic format
 4. The Final Engineering Report in electronic format and two hard copies
 5. Three copies of the Bid Documents with Final Construction Plans and Specifications
 6. One set of drawings on computer diskette in the AutoCAD format Version 13 or older
 7. One set of PDF drawings on computer diskette
 8. One copy of specifications on computer diskette in Word format.
- P. The Agency will provide the Contractor comments from State and Federal agencies in the project grant application. The Contractor will provide designs and specifications that are in compliance with the directives and/or constraints of those comments.
- Q. The Contractor shall submit a concept plan within **40** days and a draft plan within **80** days after issuance on the Notice to Proceed unless otherwise allowed by the Agency. Delays in the Agency response which occur while awaiting consulting agencies response or due to unforeseen circumstances or circumstances beyond the control of the Agency shall result in an adjustment of the Contractor's schedule by the number of days delayed. The Contractor shall then make the necessary changes and finalize the plan so that the project is ready for bid by **August 1, 2014** unless otherwise allowed by the Agency.
- R. The Contractor shall attend the pre-bid conference to discuss the project design and bid items. The Contractor shall respond to questions and prepare any addenda that may be required. This shall involve preparation of drawings and specifications required to clarify last minute changes in site conditions and/or questions that are raised during or following the pre-bid conference and until the bid closing date.

VI. POLICY ON SMALL BUSINESSES AND BUSINESSES OWNED BY WOMEN AND MINORITIES:

It is the policy of the Commonwealth of Virginia to contribute to the establishment, preservation and strengthening of small businesses and businesses owned by women and minorities and to encourage their participation in state procurement activities. The Commonwealth encourages contractors to provide for the participation of small businesses and businesses owned by women and minorities through partnerships, joint ventures, subcontracts, or other contractual opportunities. Submission of a report of past efforts to utilize the goods and services of such businesses required with any proposal for A/E services where the total A/E contract amount may exceed \$100,000. Proposer must provide information on its past utilization of Small, Women, and Minority Owned disadvantaged businesses, and must also state any plans to utilize such businesses and the manner in which they may be utilized under this Contract. Electronic copies of the "Small Businesses and Businesses Owned by Women & Minorities" Data Forms are available for download at the <http://forms.dgs.virginia.gov> website.

VII. PROPOSAL REQUIREMENTS:

- A. Proposals shall be signed by an authorized representative of the A/E. By submitting a proposal, the proposer certifies that all information provided in response to this RFP is true and accurate. Failure to provide information required by this RFP will ultimately result in rejection of the proposal.
- B. Proposals should be prepared simply and economically, providing a straightforward, concise description of the A/E's capabilities for satisfying the requirements of the RFP. Emphasis should be on completeness and clarity of content.
- C. The Respondent's proposal shall include: the completed and signed RFP cover page 1; the completed and signed Forms AE-1, AE-2, AE-3, AE-4, AE-5 and AE-6; and the completed "Small Businesses and Businesses Owned by Women & Minorities" Data. One (1) manually signed original and two (2) copies of the proposal shall be submitted to the Agency. Each copy of the proposal shall be bound in a single volume where practical.
- D. All documentation submitted with the proposal shall be included in that single bound volume. Elaborate brochures and other representations beyond those sufficient for presenting a complete and effective proposal are neither required nor desired.
- E. Any information thought to be relevant, but not specifically applicable to the enumerated scope of Work, may be provided as an appendix to the proposal. If publications are supplied by the proposer to respond to a requirement, the response should include reference to the document number and page number. Publications provided without such reference will not be considered relevant to the RFP.
- F. To reduce the effort and expense of responding to RFP's, provide uniformity in the type information requested, and enhance the review and evaluation process, the **standard Forms AE-1 through AE-6, ARCHITECTURAL/ENGINEERING FIRM DATA, shall be used by A/E's responding to State agency RFP's.**
- G. Descriptions of these forms and instructions for completing the forms are included in the A/E Manual. Forms AE-1, AE-2, AE-3, AE-4, AE-5 and AE-6 and the "Small Businesses and Businesses Owned by Women & Minorities" Data Forms are available for download at the <http://forms.dgs.virginia.gov> website

VIII. EVALUATION AND AWARD OF CONTRACTS:

A. Evaluation Criteria: Proposals shall be evaluated by the Agency using the following criteria:

1. Expertise, experience, and qualifications of the A/E's primary designer in each relative discipline for providing the services described in Section IV, Scope of Services.
2. Geographic location of the A/E's office where work will be performed in relation to the project location(s).
3. Current and projected work load, plan to complete the work and ability to complete the work in a timely manner.
4. Expertise and past experience of the A/E in providing services on projects of similar size, scope and features as those required on this project.
5. Qualifications and experience of the A/E's project manager to be assigned to this project.
6. Financial Responsibility as evidenced by the A/E's carrying Professional Liability Insurance.

Generally, the selection committee will consider the A/E's overall suitability to provide the required services within the project's time, budget and operational constraints, and it will consider the comments and/or recommendations of the A/E's previous clients, as well as other references.

B. AWARD OF CONTRACT: After evaluation of the Proposals received in response to the RFP, the Agency shall engage in individual discussions and interviews with three or more proposers deemed fully qualified, responsible and suitable on the basis of initial responses, and with professional competence to provide the required services. Repetitive informal interviews are permitted. Proposers shall be encouraged to elaborate on their qualifications, performance data, and staff expertise relevant to the proposed contract. Proposers may also propose alternate concepts or methodology. Proprietary information from competing proposers (including any data on estimated manhours or rates and the plan for accomplishing the scope of work) will not be disclosed to the public or to competitors, provided such information is duly marked as "Proprietary Information" by the Proposer and the designation is justified as required by Section 2.2-4342, Code of Virginia, as revised. At the conclusion of the informal interviews and on the basis of evaluation factors set forth in Section VIII and the information provided and developed in the selection process to this point, the Agency shall rank, in the order of preference, the interviewed proposers whose professional qualifications and proposed services are deemed most meritorious. Negotiations shall then be conducted with the Proposer ranked first. If a contract satisfactory and advantageous to the Agency can be negotiated at a fee considered fair and reasonable, the award shall be made to that Proposer. Otherwise, negotiations with the Proposer ranked first shall be formally terminated and negotiations conducted with the Proposer ranked second, and so on, until such a contract can be negotiated at a fair and reasonable fee. Should the Agency determine in writing and in its sole discretion that only one Proposer is fully qualified, or that one offer is clearly more highly qualified and suitable than the others under consideration, a contract may be negotiated and awarded to that Proposer.

IX. FEES:

The fee for services shall be negotiated on a lump sum basis considering the Scope of Services required, the estimated manhours required for each level/discipline and the typical labor rates for the various skill levels required for the work. The Memorandum of Understanding prepared by the Agency will document the negotiated acceptable labor rates for the various levels/disciplines and these rates will be used for any hourly rate work of the A/E that is authorized by the Agency.

Payments shall be as follows:

a) Design Services

- 1) A sum that equals to twenty percent (20%) of the total design services compensation payable at the submission to and acceptance by the Agency for site reconnaissance/surveying/testing/drilling.
- 2) A sum that equals to fifty percent (50%) of the total design services compensation payable at the submission to and acceptance by the Agency of the first draft plans and specifications.
- 3) A sum that equals ninety percent (90%) of the total design services compensation payable upon approval by the Agency of the final plans and specifications.
- 4) A sum that equals to one hundred percent (100%) of the total design services compensation payable after the project's construction contract(s) is awarded and the Agency has determined that all services required by the contract have been completed.

b) Inspection Services

- 1.) Upon receipt and acceptance by the Agency of each project site inspection report, a sum equal to the inspection cost per site visit as set out in the Cost Proposal and accepted by the Agency.

X. ATTACHMENTS

- A. Attachment A: eVA Vendor Registration Requirements
- B. AML Contractor Information Form

DGS-30-384

(Rev. 09/09)

Vendor eVA Registration Requirements

eVA Vendor Registration: *The eVA Internet electronic procurement solution, www.eVA.virginia.gov, streamlines and automates government purchasing activities for the Commonwealth. The eVA portal is the gateway for vendors to conduct business with state agencies and public bodies. All vendors desiring to provide construction and/or professional services to the Commonwealth shall participate in the eVA electronic procurement solution whether through the eVA Basic Vendor Registration Service or through the eVA Premium Vendor Registration Service. All bidders or offerors must register in eVA; failure to register will result in their bid/proposal being rejected.*

- a. *eVA Basic Vendor Registration Service: \$0.00 annual registration fee* plus the appropriate order Transaction Fee specified below.*
- b. *eVA Premium Vendor Registration Service: \$0.00 annual registration fee* plus the appropriate order Transaction Fee specified below. eVA Premium Vendor Registration Service includes all benefits of the eVA Basic Vendor Registration Service plus electronic notification of business opportunities that match the vendor's registered commodities.*
- c. *For orders issued August 16, 2006 and after, the Vendor Transaction Fee shall be:*
 - (i) *DMBE-certified Small Businesses: 1%, capped at \$500 per order.*
 - (ii) *Businesses that are not DMBE-certified Small Businesses: 1%, capped at \$1,500 per order.*

eVA Contracts and Orders: *The solicitation/contract will result in (one) purchase order(s) with the eVA transaction fee specified below assessed for each order.*

- a. *For orders issued August 16, 2006 and after the Vendor Transaction Fee shall be:*
 - (i) *DMBE-certified Small Businesses: 1%, capped at \$500 per order.*
 - (ii) *Businesses that are not DMBE-certified Small Businesses: 1%, capped at \$1,500 per order.*

The eVA transaction fee will be assessed approximately 30 days after each purchase order is issued. Any adjustments (increases/decreases) will be handled through eVA change orders.

** Per eVA Fee Schedule, revised 7/1/2009.*

OMB #1029-0119
Expiration Date: 1/31/16

AML CONTRACTOR INFORMATION FORM

You must complete this form for your AML contracting officer to request an eligibility evaluation from the Office of Surface Mining to determine if you are eligible to receive an AML contract. This requirement applies to contractors and their sub-contractors and is found under OSM's regulations at 30 CFR 874.16. When possible, please type your information onto this form to reduce errors on our end. NOTE: Signature and date this form is signed must be recent (within the last month) to be considered for a current bid

Part A: General Information

Business Name: _____ Tax Payer ID No.: _____
Address: _____
City: _____ State: _____ Zip Code: _____ Phone: _____
Fax No.: _____ E-mail address: _____

Part B: Legal Structure

() Corporation () Sole Proprietorship () Partnership () LLC
() Other (please specify) _____

Part C: Certifying and updating information in the Applicant/Violator System (AVS). Select only one of the following options, follow the instructions for that option, and sign below.

I, _____, have the express authority to certify that:
(print name)

1. _____ Information on the **attached** Entity Organizational Family Tree (OFT) from AVS is accurate, complete, and up-to-date. If you select this option, you **must** attach an Entity OFT from AVS to this form. Sign and date below and do not complete Part D.
2. _____ Part of the information on the **attached** Entity OFT from AVS is missing or incorrect and must be updated. If you select this option, you **must** attach an Entity OFT from AVS to this form. Use Part D to provide the missing or corrected information. Sign and date below and complete Part D.
3. _____ Our business currently is not listed in AVS. If you select this option, you must provide all information required in Part D. Sign and date below and complete Part D.

Date	Signature	Title
IMPORTANT! In order to certify in Part C to the accuracy of existing information in AVS, you must obtain a copy of your business' Entity OFT. To obtain an Entity OFT, contact the AVS Office, toll-free, at 800-643-9748 or from the AVS website at https://avss.osmre.gov.		

Part D.

Contractor's Business Name: _____

If the current Entity OFT information for your business is incomplete or incorrect in AVS, or if there is no information in AVS for your business, you must provide all of the following information as it applies to your business. Please make as many copies of this page as you require.

- ☐ Every officer (President, Vice President, Secretary, Treasurer, etc.);
- ☐ All Directors;
- ☐ All persons performing a function similar to a Director;
- ☐ Every person or business that owns 10% or more of the voting stock in your business;
- ☐ Every partner, if your business is a partnership;
- ☐ Every member and manager, if your business is a limited liability company; and
- ☐ Any other person(s) who has the ability to determine the manner in which the AML reclamation project is being conducted.

Name	_____	Position/Title	_____
Address	_____	Telephone #	_____
	_____	% of Ownership	_____
Begin Date:	_____	Ending Date:	_____
Name	_____	Position/Title	_____
Address	_____	Telephone #	_____
	_____	% of Ownership	_____
Begin Date:	_____	Ending Date:	_____
Name	_____	Position/Title	_____
Address	_____	Telephone #	_____
	_____	% of Ownership	_____
Begin Date:	_____	Ending Date:	_____
Name	_____	Position/Title	_____
Address	_____	Telephone #	_____
	_____	% of Ownership	_____
Begin Date:	_____	Ending Date:	_____

PAPERWORK REDUCTION STATEMENT

The Paperwork Reduction Act of 1995 (44 U.S.C. 3501) requires us to inform you that: Federal Agencies may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. This information is necessary for all successful bidders prior to the distribution of AML funds, and is required to obtain a benefit.

Public reporting burden for this form is estimated to range from 15 minutes to 1 hour, with an average of 22 minutes per response, including time for reviewing instructions, gathering and maintaining data, and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of this form to the Information Collection Clearance Officer, Office of Surface Mining Reclamation and Enforcement, Room 202 SIB, Constitution Ave., NW, Washington, D.C. 20240.